



Dkt. 59138-B-PCT-US/JPW/AJM/PL

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Beth E. Borowsky, et al  
U.S. Serial No. : 10/018,192  
Filed : November 1, 2001  
For : DNA ENCODING SNORF36a and SNORF36b  
RECEPTORS

1185 Avenue of the Americas  
New York, New York 10036  
May 14, 2002

Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

Information Disclosure Statement  
Pursuant to 37 C.F.R. §1.97(b)(3)

In accordance with their duty of disclosure under 37 C.F.R. §1.56, applicants would like to direct the Examiner's attention to the following references which are listed on the attached Form PTO-1449 (**Exhibit 1**) and were previously cited in connection with the prosecution of U.S. Serial No. 09/518,914, filed March 3, 2000; the subject application claims priority under 35 U.S.C. §120 of the filing date of that application. According to 37 C.F.R. §1.98(d), copies of patents or publications that were previously cited by, or submitted to, the Patent Office in connection with such prior applications need not accompany the Information Disclosure Statement. Accordingly, copies of the following references are not attached to this Information Disclosure Statement:

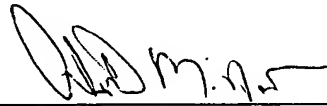
1. U.S. Patent No. 6,008,338, issued December 28, 1999; and
2. Provencio, I. et al., Melanopsin: An opsin in Melanophores, Brain and Eye, *Proc. Ntl. Acad. Sci.*, January 1998, Vol 95, pages 340-345.

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If a telephone interview would be of assistance in advancing prosecution of the subject application, applicants' undersigned attorneys invite the Examiner to telephone him at the number provided below.

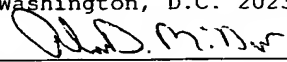
Applicants are filing this Information Disclosure Statement under 37 C.F.R. §1.97(b)(3) before the mailing of a first Office Action on the merits. Accordingly, no fee is deemed necessary in connection with the filing of this Information Disclosure Statement. However, if a fee is required, authorization is hereby given to charge the amount of any such fee to Deposit Account No. 03-3125.

Respectfully submitted,



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I hereby certify that this correspondence is being deposited this date with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to:  
Assistant Commissioner for Patents,  
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 5/14/02  
Alan D. Miller Date  
Reg. No. 42,889

Form PTO-1449

U.S. Department of Commerce  
Patent and Trademark OfficeAtty. Docket No.  
59138-B-PCT-  
US/JPW/ADM/PLSerial No.  
10/018,192

## INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Applicants  
Beth E. Borowsky, et al.Filing Date  
November 1, 2001

Group

## U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
	6 0 0 8 3 3 8	12/28/99	Fong			

## FOREIGN PATENT DOCUMENTS

Document Number	Date	Country	Class	Subclass	Translation
					Yes No

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Provencio, I. et al., Melanopsin: An opsin in Melanophores, Brain and Eye, <i>Proc. Natl. Acad. Sci.</i> , January 1998, 95:340-345.

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609: Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant: Beth E. Borowsky, et al.  
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Exhibit 1